## **Annex O**

# **Status of DNA Registers**

#### **ANNEX 01**

#### STATUS OF THE ICELANDIC WHALE DNA REGISTER

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Practical arrangements regarding the establishment of the Icelandic DNA register were concluded in 2007. The Marine and Freshwater Research Institute, Reykjavik, is responsible for the establishment and maintenance of the registry that is of the same format as the Norwegian DNA registry. An ORACLE database has now been created and contains all genotyped individual's information as well as tissue collected ID of individuals collected but not genotyped. In parallel, a DNA tissue bank has been achieved and is now fully functional.

Table 1 gives the present status of the registry. Samples from all the common minke whales landed as a part of the Icelandic research program (2003-07) and recent commercial catches (2008-21), as well as from commercial North Atlantic fin whale catches have been genotyped and information stored in the database.

Footnote no.	1	2	3	4	5	6	7	8	9	10	11	12
Species/Year	Туре	No. whales	No. duplicate	No. missing	No. lab problem	No. mtDNA	% mtDNA	No. msat	% msat	Sex analysed	% sexed	Note
North Atlantic min	ke whale											
2003-07	SP	196	0	0	0	195	99	196	100	196	100	
2008-20	С	442	0	0	0	400	90	402	91	396	89	
2021	С	1	0	0	0	0	0	0	0	0	0	
North Atlantic fin v	whale											
2006-18	С	834	0	0	0	834	100	834	98	834	100	

- 1. Key to sample types: SP=special permit catch, C=commercial catch, BC=bycatch, ST=stranding.
- 2. Number of whales that potentially entered by the previous years and enters (new year) the markets.
- 3. Number of occurrences (tissues) sample switching on board the vessels as detected by comparison of genetic profiles.
- Number of individuals for which tissue samples are missing for reasons other than sample switching. 4.
- 5. Genetic laboratory not able to obtain microsatellite profiles mtDNA haplotypes from tissue samples.
- 6. Number of samples analysed for mitochondrial control region.
- 7. % of total samples analysed for mitochondrial control region.
- 8. Number of samples analysed for microsatellites.
- 9. % of total samples analysed for microsatellites.
- 10. Number of samples analysed for sex.
- 11. % of samples analysed for sex.
- Other problems or information.

### **ANNEX 02**

### AN UPDATE OF THE JAPANESE REGISTER FOR LARGE WHALES

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### **INTRODUCTION**

The technical specifications and the status of the Japanese DNA register for large whales was presented and discussed during the 2005 International Whaling Commission (IWC SC) meeting (IWC, 2006). Since then, the number of genetic samples and the number of individuals analyzed and registered have been reported to the IWC SC annual meetings. The annual reports have included information of whales taken by former special scientific permits in the North Pacific (JARPN/JARPNII and NEWREP-NP) and Antarctic (JARPA/JARPAII and NEWREP-A), the commercial whaling in the North Pacific, and bycatches.

It should be noted that the special scientific permit takes under NEWREP-A and NEWREP-NP programs were terminated in June 2019 as an effect of the withdrawal of Japan from the International Convention for the Regulation of Whaling (ICRW) on 30 June 2019. From 1 July 2019, commercial whaling within Japan's Exclusive Economic Zone (EEZ) was started, and samples taken have been registered in the Japanese DNA register. The Japanese regulation on bycatches of large whales (established from 1 July 2001) requires that all animals should be registered with a DNA profile before any products derived from a bycaught animal are sold in the market.

The most recent full description of the protocol used by the Institute of Cetacean Research for the genetic analyses in the context of the IWC guidelines was presented by Kanda et al. (2014).

The update of the Japanese DNA register for large whales till 2021 is shown in Table 1.

### REFERENCES

International Whaling Commission. 2006. Report of the Working Group on DNA testing. J. Cetacean Res. Manage. (Suppl.) 8: 252-258.

Kanda, N., Goto, M., Oikawa, H. and Pastene, L.A. 2014. Update of note on sampling and laboratory procedure protocols of the genetic work at the Institute of Cetacean Research (SC/65b/J27Rev). Paper SC/65b/DNA01 presented to the IWC Scientific Committee, May 2014 (unpublished).

[Table 1 on next page]

Table 1 The update of the Japanese DNA register for large whales till 2021.

North Pacific minke whale  1994-2019 SP 3,0  2019-20 CW 1  2021 CW 9  2021 BC 8  North Pacific sei whale  2002-18 SP 1,6  2019-20 CW 5  2019-20 CW 5  2021 BC  2021 BC  2021 CW 2  2001-20 BC  2021 CW 2  2021 BC  North Pacific Bryde's whale  2000-17 SP 7  2019-20 CW 3  2021 CW 1  2001-20 BC  North Pacific humpback whale  2001-20 BC  North Pacific humpback whale  2001-20 BC  North Pacific humpback whale  2001-20 BC  North Pacific right whale  2001-20 BC  North Pacific right whale	3,057   139   91   2,434   80   1,622   50   25   1   1   730   374   187	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	8 0 0 2 0 0 3 0 0 0 0 0 0 0 0 0 0 0 0 0 0	3,049 139 91 2,434 80 1,618 50 25 1 1	99.7 100 100 100 100 99.8 100 100 100	3,049 139 91 2,406 80 1,622 50 25 1 1	99.7 100 100 98.8 100 100 100 100 100	3,057 139 91 2,404 80 1,622 50 25 1	100 100 100 98.8 100 100 100 100 100 100	Note
1994-2019 SP 3,0 2019-20 CW 1. 2021 CW 9. 2001-20 BC 2,4 2021 BC 8  North Pacific sei whale 2002-18 SP 1,6 2019-20 CW 5. 2021 CW 2. 2001-20 BC 2021 BC  North Pacific Bryde's whale 2000-17 SP 7. 2019-20 CW 3. 2021 CW 1. 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	139 91 92,434 80 11,622 50 25 1 1 9730 374 187	0 0 0 0 0 0 0 0	0 0 26 0 0 0 0 0 0	0 0 2 0 4 0 0 0 0	139 91 2,434 80 1,618 50 25 1	100 100 100 100 99.8 100 100 100	139 91 2,406 80 1,622 50 25 1	100 100 98.8 100 100 100 100 100	139 91 2,404 80 1,622 50 25 1	100 100 98.8 100 100 100 100	
2019-20 CW 1 2021 CW 9 2001-20 BC 2,2 2021 BC 8  North Pacific sei whale 2002-18 SP 1,6 2019-20 CW 5 2021 CW 2 2001-20 BC 2021 BC  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 1 2001-20 BC  North Pacific Bryde's whale 2001-17 SP 7 2019-20 CW 3 2021 CW 1 2021 BC  North Pacific humpback whale 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	139 91 92,434 80 11,622 50 25 1 1 9730 374 187	0 0 0 0 0 0 0 0	0 0 26 0 0 0 0 0 0	0 0 2 0 4 0 0 0 0	139 91 2,434 80 1,618 50 25 1	100 100 100 100 99.8 100 100 100	139 91 2,406 80 1,622 50 25 1	100 100 98.8 100 100 100 100 100	139 91 2,404 80 1,622 50 25 1	100 100 98.8 100 100 100 100	
2021 CW 2 2001-20 BC 2,2 2021 BC 8  North Pacific sei whale 2002-18 SP 1,6 2019-20 CW 5 2021 CW 2 2001-20 BC 2 2021 BC 8  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 1 2001-20 BC 7 2019-20 BC 7 2019-20 CW 3 2021 CW 1 2001-20 BC 7 2021 BC 7 2019-20 BC 7 2019-20 BC 7 2019-20 BC 7 2019-20 BC 7 2021 BC 7 2019-20 BC 7 2019-20 BC 7 2019-20 BC 7 2021 BC	91 (2,434 ) (80 ) (1,622 ) (1,	0 0 0 0 0 0 0 0	0 26 0 0 0 0 0 0 0	0 2 0 4 0 0 0 0	91 2,434 80 1,618 50 25 1	100 100 100 99.8 100 100 100	91 2,406 80 1,622 50 25 1	100 98.8 100 100 100 100 100	91 2,404 80 1,622 50 25 1	100 98.8 100 100 100 100 100	
2001-20 BC 2,2 2021 BC 8  North Pacific sei whale 2002-18 SP 1,6 2019-20 CW 5 2021 CW 2 2001-20 BC 2 2021 BC North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 1 2001-20 BC 7 2019-20 CW 3 2021 CW 1 2001-20 BC 7 2021 BC 7 2019-20 BC 7 2021 BC 7 202	1,622 1,622 50 25 1 1 730 374 187	0 0 0 0 0 0 0	26 0 0 0 0 0 0	2 0 4 0 0 0 0	2,434 80 1,618 50 25 1 1	99.8 100 100 100 100 100 99.6	2,406 80 1,622 50 25 1 1	98.8 100 100 100 100 100	2,404 80 1,622 50 25 1	98.8 100 100 100 100 100	
2021 BC 8  North Pacific sei whale 2002-18 SP 1,6 2019-20 CW 5 2021 CW 2 2001-20 BC 2021 BC  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 1 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	80 1,622 50 25 1 1 730 374 187	0 0 0 0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0	0 4 0 0 0 0	1,618 50 25 1 1	99.8 100 100 100 100	1,622 50 25 1 1	100 100 100 100 100 100	1,622 50 25 1	100 100 100 100 100	
North Pacific sei whale 2002-18 SP 1,6 2019-20 CW 5 2021 CW 2 2001-20 BC 2021 BC  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 1 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	1,622 50 25 1 1 730 374 187	0 0 0 0 0	0 0 0 0 0	4 0 0 0 0 0	1,618 50 25 1 1	99.8 100 100 100 100	1,622 50 25 1 1	100 100 100 100 100	1,622 50 25 1	100 100 100 100	
2002-18 SP 1,6 2019-20 CW 5 2021 CW 2 2001-20 BC 2021 BC  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 1 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC  North Pacific right whale	50 25 1 1 730 374 187	0 0 0 0 0 0 0	0 0 0 0	0 0 0 0	50 25 1 1	100 100 100 100	50 25 1 1	100 100 100 100	50 25 1	100 100 100	
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2021 CW 2 2001-20 BC 2021 BC  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 1 2001-20 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	25 1 1 730 374 187	0 0 0 0 0 0 0	0 0 0 0 0 0	0 0 0 3 0	25 1 1 727	100 100 100 99.6	25 1 1 730	100 100 100	25 1	100 100	
2001-20 BC 2021 BC  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 12 2001-20 BC  2021 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	1 1 730 374 187	0 0 0 0 0 0	0 0	0 0 3 0	1 1 727	100 100 99.6	1 1 730	100 100	1	100	
2021 BC  North Pacific Bryde's whale 2000-17 SP 7 2019-20 CW 3 2021 CW 12 2001-20 BC  2021 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	730 374 187	0 0 0 0	0 0	3 0	1 727	100 99.6	1 730	100			
North Pacific Bryde's whale  2000-17 SP 7  2019-20 CW 3  2021 CW 1  2001-20 BC  2021 BC  North Pacific humpback whale 2001-20 BC  North Pacific right whale 2001-20 BC	730 374 187	0 0	0	3	727	99.6	730		1	100	
2000-17         SP         7.           2019-20         CW         3           2021         CW         1           2001-20         BC         1           2021         BC         1           North Pacific humpback whale         2001-20         BC         7           2021         BC         1           North Pacific right whale         2001-20         BC	730 374 187	0	0	0				100			
2019-20 CW 3 2021 CW 12 2001-20 BC C  North Pacific humpback whal 2001-20 BC C  North Pacific right whale 2001-20 BC C  North Pacific right whale	374 187	0	0	0				100			
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2001-20 BC  2021 BC  North Pacific humpback whal 2001-20 BC  2021 BC  North Pacific right whale 2001-20 BC			0	Λ		100	374	100	374	100	
2021 BC North Pacific humpback whal 2001-20 BC 7 2021 BC North Pacific right whale 2001-20 BC	5	_		U	187	100	187	100	187	100	
North Pacific humpback whal 2001-20 BC 7 2021 BC 6 North Pacific right whale 2001-20 BC	5	0	0	0	5	100	4	80	4	80	Include three Omura's whale and one from the East China Sea stock
2001-20         BC         7           2021         BC         8           North Pacific right whale         2001-20         BC	0	0	0	0	0	0	0	0	0	0	No BC
2001-20         BC         7           2021         BC         8           North Pacific right whale         2001-20         BC	ale										
North Pacific right whale 2001-20 BC		0	0	0	72	100	72	100	72	100	
2001-20 BC	0	0	0	0	0	0	0	0	0	0	No BC
2001-20 BC											
2021 BC	4	0	1	0	4	100	3	75	3	75	One is missing by the 2011 tsunami, no microsats
	0	0	0	0	0	0	0	0	0	0	No BC
North Pacific fin whale											
	12	0	0	0	12	100	12	100	12	100	
		0	0	0	2	100	2	100	2	100	
North Pacific sperm whale											
•	56	0	0	0	56	100	56	100	56	100	
		0	0	0	2	100	2	100	2	100	
		0	0	0	0	0	0	0	0	0	No BC
Antarctic minke whale											
	6,794	0	10	0	1,118	16.5	6,271	92.3	6,794	100	Incl. dwarf; 87/88-88/89. no microsats
		0	549	162	3,977	76.2	4,505	86.4	5,216		Some missing by the 3/11 tsunami in 2011
Antarctic fin whale 2005/06-2011/12 SP 1	-, L = U	0	0	0	18	100	18	100	18	100	

- Key to sample types: SP=special permit catch, CW=commercial catch, BC=bycatch. 1.
- 2. Number of whales that potentially entered by the previous years and enters (new year) the markets.
- Number of occurrences (tissues) sample switching on board the vessels as detected by comparison of genetic profiles. 3.
- 4. Number of individuals for which tissue samples are missing for other reasons than sample switching.
- 5. Genetic laboratory not able to obtain microsatellite profiles mtDNA haplotypes from tissue samples.
- Number of samples analysed for mitochondrial control region. 6.
- % of total samples analysed for mitochondrial control region.
- Number of samples analysed for microsatellites. 8.
- 9. % of total samples analysed for microsatellites.
- Number of samples analysed for sex. 10.
- 11. % of total samples analysed for sex.
- Other problems or information.